

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: David E. LUDWIG et al  
Application No./Patent No.: 10/805,849 Filed/Issue Date: 03/22/2004  
Attorney Docket Number: 088245-9247  
Entitled: Three-dimensional imaging device incorporating stacked layers containing microelectronic circuits

APROLASE DEVELOPMENT CO., LLC  
(Name of Assignee)

LLC  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest;
2. ☐ an assignee of less than the entire right, title, and interest  
(The extent (by percentage) of its ownership interest is %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: WDWIG, DAVID E. et al To: IRVINE SENSORS CORPORATION  
The document was recorded in the United States Patent and Trademark Office at  
Reel 016673, Frame 0915, or for which a copy thereof is attached.

2. From: LUDWIG, DAVID E. et al To: IRVINE SENSORS CORPORATION  
The document was recorded in the United States Patent and Trademark Office at  
Reel 016306, Frame 0557, or for which a copy thereof is attached.

3. From: IRVINE SENSORS CORPORATION To: APROLASE DEVELOPMENT CO., LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 022766, Frame 0284, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.  
☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nicholas M. Lagerwall  
Signature

4/22/10  
Date

Nicholas M. Lagerwall (Reg. No. 63,272)  
Printed or Typed Name

(608) 258-4466  
Telephone Number

Attorney for Applicant  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.